

## Meethaq Equity Fund Subscription Form

Date

FOLIO NUMBER  
If new investor, fill in "NEW"

### Applicant Information

ENTITY/ STATUS  Individual  Mutual fund  Pension fund  Company / LLC  Others .....  
Name of applicant  Ms.  M/s.  HH  HE Date of Birth: .....

Name of the Parent/ Guardian (In case of Minor) Relation with Minor

### Proof of Identity

Passport No.  Issue Date  Expiry Date   
 ID Card  Issue Date  Expiry Date   
 CR No.  Issue Date  Expiry Date

Are you (the owner) tax resident in any country other than Oman?  No  Yes If "yes" is selected, please fill CRS-Individual Self Certification form (CRS form). CRS form enclosed.

### Contact Details of Applicant

P.O. Box ..... Address: .....  
Postal Code: ..... City: ..... Country: .....  
Tel. No.: ..... Mobile No.: ..... E-mail ID: .....

**Email Communication**  I/We wish to receive account statement by e-mail at the above mentioned e-mail address.

### Non Meethaq/Bank Muscat Customers

Income Range:  Below RO 1.000  RO 1.000 - RO 2.500  RO 2.500 and above  
Source of Funds: .....  
Proof of Employment: .....  
Proof of residence Funds: .....

### \* Politically Exposed Person (PEP) / Prominent Position

PEP means a person who is currently or was formerly entrusted with a prominent public function in any country. This includes persons currently or formerly serving. Direct relative or "family member" includes parent, child, spouse, sibling, in law and in case of step parent, spouse, adopted child, step-child, adopted sibling, step-sibling and in laws. «Close associate» means a colleagues or personal advisor or business partner or person having a business relationship with the PEP. Examples of PEPs are listed below.

- Head of Government, President, Prime Minister, Minister and their deputies or any other equivalent position
- Advisors/Consultants of the head of state, prime minister, minister and other equivalent position or bodies affiliated to Head of Government
- Head and Assistant of religious groups outside the Sultanate of Oman
- Important political party officials (Head, Secretary General)
- Any appointment based on Royal Decree
- Member of the Royal Family
- Rank of Colonel and above (Army, Navy, Air force, ROP, intelligence, investigation or law enforcement agency)
- Board members, President, Senior executive upwards (i.e. Vice President) of Central Banks
- Board members, Directors (CEO), Deputy Directors of government owned companies
- Ambassador, Counselor, First Secretary, Charges d' affaires or Embassy Attaché
- Member of parliament, Shura Council, Oman Council, State council, or any equivalent body
- Judges of all Court level
- Board members, Directors (CEO), Deputy Director of an international organization (such as UN, WHO, UNESCO etc.)

Declaration	Primary Applicant	Second Applicant
a Are you or a current or former PEP?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
If Yes, I am a: <input type="checkbox"/> Omani PEP <input type="checkbox"/> Foreign PEP <input type="checkbox"/> International Organization PEP <input type="checkbox"/> NGO		
b Are you a "family member" of a current or former PEP?	<input type="checkbox"/> Yes <input type="checkbox"/> No	c Yes c No
c Are you a "close associate" of a current or former PEP?	<input type="checkbox"/> Yes <input type="checkbox"/> No	c Yes c No
If Yes, Please describe relation with the PEP:		

\*NGO: non-governmental organizations / Not for profit organization

Name of PEP: ..... Period of service: From ..... to .....

Country/ International organization: .....

**Bank Account Details**

Account Type	<input type="text"/>	Account No.	<input type="text"/>
Bank	<input type="text"/>	Branch	<input type="text"/>
Branch Address	<input type="text"/>	PC	<input type="text"/>

<b>SUBSCRIPTION</b>	<b>Meethaq Equity Fund</b>
Lump sum Amount (OMR)	<input type="text"/>
SIP Amount (OMR)	<input type="text"/>
Subscription Fees %	<input type="text"/>
Total Amount (OMR)	<input type="text"/>
Systematic Investment Plan	Date: 1st <input type="checkbox"/> 15th <input type="checkbox"/> Period From: ..... To: .....

<b>PAYMENT MODE</b>	<input type="checkbox"/> Cheque No. <input type="checkbox"/> Account Debit <input type="checkbox"/> Demand Draft
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\*If payment is made via cheque, bank transfer or demand draft, the same shall be submitted in favour of «Meethaq Equity Fund» or as otherwise advised by the collecting bank/agent to the Fund.

**Declaration:**

- I/We subscribe for units in the Meethaq Equity Fund (the "Fund") set out above. I/We confirm that the money invested is from a legitimate and lawful source.
- Applications that are not in accordance with the terms and conditions of the prospectus of the Fund (the "Prospectus") and this subscription form are liable to be rejected.
- I/We acknowledge that that I/We have received a copy of the Prospectus and the articles of association of the Fund (the "Articles of Association") and any other information disclosed in relation to the Fund (the "Fund Documents"). I/We have read, understood and accepted the terms and contents of the Fund Documents, including the risks of, and other considerations related to, the subscription for units in the Fund ("Units") and its investment objectives, policies and strategies and the representations and warranties which I/we are giving to the Fund. I/We represent and warrant to the Fund and the collecting bank/agent to the Fund to which this subscription form is submitted, that I/we meet the eligibility requirements as set out in the Fund Documents. I/ We hereby apply for the allotment of Units. I/We agree to abide by the terms, conditions, rules and regulations of the Fund.
- I/We represent and warrant that I/we (including all our beneficial owners) am/are not (a) a citizen of the United States of America ("US"); (b) an entity or person organised under the laws of the US or any state, territory or possession of the US; (c) the government of the US or any state, territory or possession of the US; or (d) a representative of, or entity controlled by, any person referred to in any of (a) through (c) ("US Person").
- I/We represent and warrant that I/we (including all of our beneficial owners) am/are not in breach of any applicable anti-money laundering, economic sanctions, anti-bribery, or anti-boycott laws or regulations or any other applicable laws and regulations relating to the prevention of money laundering or other similar applicable laws and regulations, and that I/we am/are not sanctioned persons prohibited or otherwise restricted from transacting business, am/are not owned or controlled by any such a person, and am/are not otherwise listed on any economic sanctions list.
- I/We represent and warrant that I/we (including all of our beneficial owners) am/are not, and am/are not owned or controlled by, persons located or headquartered in jurisdictions subject to any trade embargoes, bans on trade or other similar prohibitions.
- I/We agree to indemnify and hold harmless and keep indemnified and held harmless each of the Fund, the issue manager and investment manager to the Fund, the collecting bank/agent to the Fund to which this subscription form is submitted, and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly from me/us applying for, acquiring or holding Units in contravention of paragraphs 3, 4, 5 and 6 above or otherwise in breach of, or non-compliance with, any other applicable provisions outlined in the Fund Documents. I/we understand and agree that this indemnity will survive the execution and delivery of this application and the issue or redemption of the Units.
- I/We acknowledge and agree that should: (i) any of the representations and warranties given by me/us be false or misleading in whole or in part; (ii) I/we or any of my/our beneficial owners be found at any time to be in breach of applicable anti-money laundering, economic sanctions, anti-bribery or anti-boycott laws or regulations, or other applicable laws or regulations relating to the prevention of money laundering, or other similar applicable laws or regulations; (iii) I/we or any of my/our beneficial owner(s) become a US Person; (iv) I/we or any of my/our beneficial owner(s) become a Sanctioned Person (as defined in the Articles of Association) or otherwise be listed on any economic sanctions list; or (v) I/we or any of my/our beneficial owner(s) become, or be owned or controlled by, persons located or headquartered in a jurisdiction which is or becomes subject to Embargoes (as defined in the Articles of Association), then the Fund shall have the right to freeze my/our account and the right to compulsorily redeem, at its sole and absolute discretion and at any time, my/our Units at the prevailing NAV (as defined in the Prospectus) less the costs and liabilities caused to the Fund as a result of my/our breach of such representations and warranties or as a result of the relevant aforementioned circumstances existing.
- I/We undertake to promptly provide any information and documentation that may be requested by the Fund, the collecting bank/agent, the issue manager or the investment manager to the Fund to verify the accuracy of any representations and warranties given by me/us.
- I/We confirm that the information given in/with this subscription form is correct, complete and truly and accurately stated. I/We agree to furnish such other information as may be required by the Fund, and I/we undertake to inform the Fund in writing about any change in the information provided, from time to time. I/We hereby indemnify and hold harmless and shall keep indemnified and held harmless the Fund, the issue manager and investment manager to the Fund, the collecting bank/agent to the Fund to which this subscription form is submitted, and their respective directors, officers and employees and all third parties associated with the Fund against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly from or out of any dispute regarding the eligibility, validity and authorisation of my/our transactions and/or the applicant who applied on my/our behalf.
- I/We will be responsible for any consequences for any incorrect information provided by me/us. I/We agree that the bank details provided above will be registered with the Fund and will be used for any refund, payment of dividend, return of capital or any other payment to me/us by the Fund.

**Disclaimer:**

- I/We have read the Prospectus and Articles of Association and have understood and agree to all the terms and conditions set out therein including the risk factors.
- Past performance is NOT a guarantee of future performance. The value of any investment, including my/our investment in the Units in the Fund and the investments of the Fund, can fluctuate depending on the market value as on a transaction date. Bank Muscat S.A.O.G. and Meethaq Islamic Banking by Bank Muscat are NOT responsible for any losses suffered on account of such market fluctuations.
- The investment manager to the Fund, and its directors, officers, employees and agents, shall be indemnified against any actions, proceedings, claims, costs, demands and expenses that they may have incurred while performing their duties, except as shall arise from their bad faith, wilful breach of duty or reckless or negligent act or omission..
- Applicant should sign as per ID copy or Passport copy.
- Applications that are not in accordance with the terms and conditions of the Prospectus, the Articles of Association or this Subscription Form are liable to be rejected.

Applicant/Guardian/Authorised Signatory .....

**For Bank Use Only**  
(compulsory details)

Name & Broker Code	Sub Broker / Sub Agent Code	Date and Time of Receipt	Bank/Registrar Serial No.	FT Reference Number
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### ACKNOWLEDGMENT SLIP

We acknowledge receipt of the subscription form received from ..... together with an amount of OMR ..... for the subscription and allotment of the Units subscribed.

SUBSCRIPTION	Meethaq Equity Fund
Lump sum Amount (OMR)	
SIP Amount (OMR)	
Subscription Fees %	
Total Amount (OMR)	
Systematic Investment Plan	Date: 1st <input type="checkbox"/> 15th <input type="checkbox"/> Period From: ..... To: .....

Date & Stamp of the branch